



Attorney Docket No.: YOR920030580US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicants: P.M. Dantzig et al.
Docket No.: YOR920030580US1
Serial No.: 10/789,087
Filing Date: February 27, 2004
Group: To Be Assigned
Examiner: To Be Assigned

I hereby certify that this paper is being transmitted on this date via facsimile to the Assignment Division of the United States Patent and Trademark Office at (703) 306-5995.

Signature: *David R. Chulpin* Date: March 25, 2004

Title: Method and Apparatus for Hierarchical
Selective Personalization

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

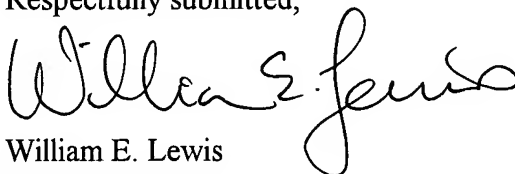
1. J. Wang, "A Survey of Web Caching Schemes for the Internet," ACM Computer Communication Review (CCR), Vol. 29, No. 5, 11 pages, October 1999.

2. J. Challenger et al., "Efficiently Serving Dynamic Data at Highly Accessed Web Sites," IBM Research Report No. RC22823, pp. 1-38, June 2003.

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Respectfully submitted,

A handwritten signature in black ink, appearing to read "William E. Lewis". The signature is fluid and cursive, with the first name "William" being the most prominent part.

Date: March 25, 2004

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FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT



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U.S. PATENT DOCUMENTS

| EXAMINER | | | | FILING DATE |
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| INITIAL | DOCUMENT NO. | DATE | NAME | IF APPROPRIATE |

FOREIGN PATENT DOCUMENTS

| EXAMINER | | | | TRANSLATION |
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| INITIAL | DOCUMENT NO. | DATE | COUNTRY | YES NO |

OTHER DOCUMENTS

| EXAMINER | | |
|----------|---------|--------------------------------------------|
| INITIAL | REF NO. | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |

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1. J. Wang, "A Survey of Web Caching Schemes for the Internet," ACM Computer Communication Review (CCR), Vol. 29, No. 5, 11 pages, October 1999.

2. J. Challenger et al., "Efficiently Serving Dynamic Data at Highly Accessed Web Sites," IBM Research Report No. RC22823, pp. 1-38, June 2003.